



FY26-FY27 Budget Questions
Appropriations Regulation and Protection Subcommittee Work Session
Connecticut Insurance Department

Headcount Questions:

1. What is your current headcount?

- a. Total headcount: 157 FTE**
- b. Of the total, how many are Funded headcount: 157 FTE**
- c. Of the funded, how many Actual headcount: 153 FTE**
- d. Open/vacancies headcount: 4 FTE (as of February 1, 2026)**
 - i. Are these opens part-time or full-time resources? Full-Time**

*These numbers should tie (example):

- a. Total headcount: 100
- b. Funded headcount: 90
- c. Actual headcount: 80
- d. Open headcount: 10
 - Full-time = 8
 - Part-time = 2

(Funded) 90 – (Actual) 80 = (Open) 10

2. Is there is change in headcount (either up or down) for this budget ask? Yes

- a. If there is a positive change in headcount, please explain what the positions are and what is driving the need for the positions** The Governor’s budget reallocates funding for two (2) positions that are currently split between the Department of Insurance and the Department of Administrative Services (DAS) to fully fund the positions within DAS.
 - i. If these adds are legislatively driven, what piece of legislation is driving the increase? Not applicable.
 - ii. If they are not legislatively driven, please indicate which program is increasing if there is one. Not applicable.
- b. If there is a reduction, please explain what is driving the reduction** Not applicable
 - i. Are the positions being transferred to another area?

3. Does this budget ask include the open/vacant positions above? The Department’s open/vacant positions are included in the budget. As of February 1, 2026, two (2) of the Department’s four (4) open/vacant open positions have been approved for refill by the Office of Policy and Management and are in various stages of active recruitment, and two (2) will soon be posted pending the completion of the administrative reclassification process.

- a. **If yes, how are they budgeted into your plan? (Please explain for all opens – if you have ten opens then explain for all ten)** The positions listed above are included in the Insurance Department’s FY27 Personal Services Budget. The Connecticut Insurance Department is funded by the Insurance Fund, which is supported by assessments paid by domestic insurance companies and certain other domestic entities.
- i. Are these full-time or part-time positions? Full Time
 - ii. What is the anticipated start date of your vacancies? Q2 2026 – dependent upon the identification of qualified, successful candidates and such candidate’s acceptance of the Department’s formal employment offer

*Please detail by number, for example: There are 10 open positions – 8 are full-time and 2 are part-time. We have built them into the budget as follows. 8 full-time positions are expected to be filled on 07/01 and 2 part-time positions are expected to be filled on 01/01

The Insurance Department’s hiring process is on-going and continuous. Vacant positions are filled as soon as administratively possible following the completion of interviews, due diligence, and the extension of an employment offer to a successful candidate.

4. How many opens/vacancies did you have at the prior year end on 06/30/2025? At the end of FY25 (i.e. June 30, 2025), the Insurance Department had five (5) vacancies.

Job Class	Division	Status (6/30/2025)
Insurance Program Manager (RC)	Life and Health	Vacant
Staff Attorney 3	Legal	Vacant
Staff Attorney 2	Legal	Vacant
Insurance Program Coordinator I	Life & Health	Vacant
Insurance Actuary (P&C)	Actuarial	Vacant

- a. **How many vacancies did you start the prior year with (07/01/2024)?** As of July 1, 2024, the Insurance Department had 10 vacancies.

Job Class	Division	Status (7/1/2024)
(NEW) Data Scientist	Actuarial	Vacant
(NEW) Insurance Actuary Associate	Actuarial	Vacant
Insurance Actuary P&C	Actuarial	Vacant
Staff Attorney 3	Legal	Vacant
Insurance Financial Examiner	Captive	Vacant
Insurance Examiner	Consumer Affairs	Vacant
Insurance Examiner	Consumer Affairs	Vacant
Insurance Exam & MKCndt	Field Examination	Vacant
Insurance Program Manager (RC)	Life and Health	Vacant
Insurance Associate Examiner	Fraud	Vacant

- b. **How many people left throughout the year either via leaving, retiring, or transferring?** From July 1, 2024, through June 30, 2025, five (5) employees separated from the Connecticut Insurance Department.

Position	Reason for Separation	Date of Separation
Insurance Program Manager (RC)	Retirement	5/1/2025
Staff Attorney 3	Retirement	3/1/2025
Staff Attorney 1	Resigned	4/19/2025
Staff Attorney 1	Unsatisfactory Working Test Period	1/7/2025
Insurance Cert. Financial Examiner	Retirement	11/1/2024

- c. **How many new hires did you have in the same time period (07/01/24-06/30/25)?** From July 1, 2024, through June 30, 2025, the Insurance Department hired eight (8) new employees to full time positions.

Position	Division	Date of Hire
Staff Attorney	Legal	7/8/2024
Insurance Associate Examiner	Fraud	8/9/2024
Insurance Examiner	Captives	11/1/2024
Insurance Actuary Associate	Actuarial	11/1/2024
Accounting Careers Trainee	Field Examination	12/13/2024
Insurance Examiner	Consumer Affairs	1/24/2025
Insurance Examiner	Consumer Affairs	4/18/2025
Data Scientist	Actuarial	6/13/2025

*For example-- all of these numbers should tie. Started 2023 with 20 vacancies, 2 left for retirement, hired 12. This should tie to your opens above – $20+2-12=10$

5. **What is the average salary of your open positions?** The average salary of the Department's open positions is \$106,300.00

Lapse Questions: *(please provide the numbers and not a link to the comptroller's report)

1. **Were there any lapsing accounts on 06/30/2025? Yes**
 - a. **If yes, what were the accounts?** Personal Services, Other Expenses & Fringe
 - b. **If yes, what was the lapse balance?** \$2,396,238 in PS; \$4,036,522 in Fringe; \$15.17 in Other Expenses
 - c. **If yes, what drove the lapse?** These dollars went unspent because of (1) the length of the hiring process and the Insurance Department's difficulty finding qualified applicants for open positions, especially highly specialized, technical positions; and significantly (2) the reimbursement of PS (\$600k) and Fringe (\$472k) expenses from the Department's examination of foreign insurance companies.

2. **Please provide the starting Personnel Services budget number and the ending Personnel Services number. Please do not include any dollars that may have been moved via the FAC process. Just total non-adjusted budgeted PS line item and total ending PS line item.**
 - a. **Starting Personal Services Budget = \$17,992,339**
 - b. **Ending Personal Services Amount = \$2,396,238**

3. **Where there any dollars for new programs/legislation that did not kick off?** No – there were no dollars for new programs/legislation that did not kick off.
 - a. **If so, what were the programs/legislation?** Not applicable.
 - b. **What prevented implementation of the program?** Not applicable.

4. **If there is a lapsing balance, do you anticipate it carrying forward?** Yes, the Insurance Department requested a carry forward of \$965k from Fringe Benefits into FY 2026.
 - a. **If yes, how do you propose to use that lapse?** The carry forward funds are to be used exclusively for the agency relocation expenses.
 - b. **Will it be for one-time expenses?** Yes
 - i. **If so, what are those one-time expenses?** Moving Services, Network & Cabling installation in the new office space, furniture replacement, and Document Digitalization services.
 - c. **If ongoing expense is that expense built into this budget in FY 25?** Not applicable.

ARPA Questions:

1. **Are there still ARPA funds included in this budget?** No, not applicable.
 - a. **If yes, when will the funding be fully utilized?** Not applicable

Audit Questions:

1. **Have you reviewed your agencies latest audit finding?** Yes, the Department has reviewed the latest audit findings.

2. **Have you implemented the recommendations with no fiscal impact?** Yes
 - a. **If so, please provide explanation of what you have changed to meet audit expectations.**

The findings primarily identified updates and remediations to internal processes and oversight on matters such as GAAP and Statutory Reporting, Purchasing and Expenditures, Asset Management, and Compensatory Time Oversight. CID has taken steps to strengthen internal controls, streamline processes for greater efficiency, and added training for staff on such requirements and processes. Through realignment of duties related to Asset Management, the Department’s Business Office has ensured that physical inventories are conducted on an annual basis. Further, the Department developed internal employee resources to educate staff on the proper procedures for the procurement of goods and services. None of these actions have been identified as having a fiscal impact at this time.

3. **If your agency has a recommendation with a fiscal impact, do you know what that annualized impact is?** Not applicable.

General Questions:

- 1. Is there anything you would change about this budget?**
The Insurance Department supports the budget as proposed by Governor Lamont.
- 2. Is there anything you would add to this budget?**
The Insurance Department supports the budget as proposed by Governor Lamont.
- 3. Is there anything you would remove from this budget?**
The Insurance Department supports the budget as proposed by Governor Lamont.
- 4. Is there any legislation that was passed you feel you are not adequately prepared to implement? No.**
 - a. If so, what would we need to change to make it implementable?**
Not applicable.